Driving AML/CFT Effectiveness Amidst Grey Listing A regional Conclave

Program Background: Amid Nepal's increased monitoring status (Grey Listing), there is need to strengthen awareness, coordination, and compliance across all reporting entities. The gaps highlighted during the evaluation demand a unified effort from regulators, investigators, and financial institutions to enhance the effectiveness of the country's AML/CFT framework. This conclave provides a timely platform for the stakeholders to discuss key supervisory findings, clarify institutional expectations, and share practical insights on emerging risks and challenges. By fostering collaboration and improving understanding, the program supports Nepal's collective commitment to strengthening its AML/CFT regime and progressing toward removal from the grey list.

Objectives:

- **Enhance understanding of Nepal's Grey Listing status and the key gaps, risks, and compliance expectations identified by regulators.**
- **Strengthen coordination among reporting entities, regulators, and investigating agencies** to improve the effectiveness of AML/CFT implementation.
- **Share supervisory findings, practical challenges, and best practices** to help institutions align with national and international standards.
- **Equip participants with practical insights on employee roles, institutional responsibilities, and collaborative actions** needed to support Nepal's progress toward exiting the grey list.

Program Details:

Date: 13th December 2025 & Time 8:30am-4:30pm

Venue: Hotel Verandah, Itahari

3 to 5 participants: 5% discount

6 and above: 10% discount

Program Details

Target Audience:

- Province Heads
- Operation In charge
- Branch Managers
- Line Managers
- Credit Officers
- Business Leaders
- Credit Analyst
- Relationship Manager
- Credit In-Charge
- Department Heads
- Credit Underwriters
- Employees working in Credit Administration
- Any Interested Employee

Time	Particular
8:30am-9:40am	**Registration/ Light Breakfast/ Networking**
9:40am - 9:45am	Opening Remarks by National Banking Institute
9:45am – 11:15am	**Setting the Tone: The Whys and wherefores behind Nepal's Increased Monitoring (Grey List)** *Speaker: Executive from Nepal Rastra Bank (Time 1hr30min, Including Q & A -15 Min)*
11:15am - 11:35am	**Tea/Coffee/Cookies/ Networking Break**
11:35am - 12:35pm	**Topic: Supervisory Findings and Their Impacts on Reporting Entities** *Speaker: NRB Executive, Money Laundering Prevention Supervision Division, Nepal Rastra Bank (Time 1 hr, Including Q & A -15 Min)*
12:35am - 1:30pm	**Lunch & Networking Break**
1:30pm - 2:30pm	**Topic: Expectations of Investigating Agency from Banks and Other Reporting Entities** *Speaker: Mr. Govinda Thapa, DSP, Nepal Police Staff College* *(Time 1 hr, Including Q & A -15 Min)*
2:30pm - 2:50pm	**Tea/Coffee/Cookies Break Networking Break**
2:50pm - 4:30pm	***Panel Discussion*** **Topic: Employee Roles in Ensuring AML/CFT Compliance and the Associated Issues and Challenges** ***Panelists:*** *1. NRB Executive, Money Laundering Prevention Supervision Division* *2. Mr. Sulav Joshi, Province Head, Global IME Bank Ltd.* *3. Ms. Preeti Deo, Deputy Director, NIA* ***Moderator:*** ***Executive from Nepal Rastra Bank*** ***(Time 1 Hour 40 Minutes, Including 15 minutes Q & A)***
4:30pm	**Closing & Certificate Distribution**

Facilitator's Profile

Executives
Nepal Rastra Bank

Mr. Govinda Thapa
Deputy Superintendent of Police
Nepal Police

Mr. Thapa has been serving in the Nepal Police since December 27, 2007. A graduate of the National Police Academy, he has received advanced training from SVPNPA Hyderabad, the Army Command and Staff College, and CoESPU Italy. He has also completed specialized courses in banking, financial analysis, crime investigation, and anti-money laundering from institutions including NBI, NRB, DMLI, and NJA. He holds a Master's in Strategic Studies, a PGDPS, an LL.B., and a Master's in Rural Development from Tribhuvan University, reflecting his strong commitment to law enforcement and professional excellence.

Mr. Sulav Hari Joshi
Chief of Koshi Province/Province Information Officer
Global IME Bank Ltd.

Currently serving as the Chief of Koshi Province at Global IME Bank Ltd. (since February 20, 2024). Previously held key leadership roles including Chief of Country Operations (from May 23, 2022), Chief Credit Control (from December 6, 2019), and Chief Risk Officer (from January 17, 2016). Experienced in developing credit processing systems, strengthening risk culture, managing overall bank risks, formulating strategic policies, monitoring credit portfolios, and supporting business growth in line with bank strategy.

Preeti Deo
Deputy Director
Nepal Insurance Authority

Preeti Deo currently serves as the Koshi Province Head of the Nepal Insurance Authority, where she leads provincial regulatory oversight, supervision, and enforcement of insurance sector policies. With extensive experience in insurance regulation, compliance, and market governance, she has played a key role in strengthening institutional adherence to national standards and promoting sound risk-management practices across the province. Her work places a strong emphasis on enhancing the insurance sector's capacity to meet Anti-Money Laundering and Countering the Financing of Terrorism (AML/CFT) requirements. She actively collaborates with insurers to improve compliance frameworks, address operational challenges, and promote a culture of ethical and responsible conduct. Preeti Deo brings valuable insights into the evolving regulatory landscape, emerging risks, and the practical issues institutions face while implementing AML/CFT guidelines. Her expertise and leadership continue to support the development of a transparent, resilient, and trustworthy insurance sector in Nepal.